

# Integrated Path Following and Collision Avoidance Using a Composite Vector Field

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# Introduction

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# Introduction

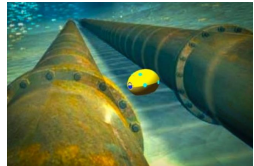
**Path following** is one of the fundamental capabilities for mobile robots.



(a) wheeled robots



(b) aerial robots



(c) underwater robots

# Introduction

**Path following** is one of the fundamental capabilities for mobile robots. But when there are many obstacles, **collision avoidance** is vital.

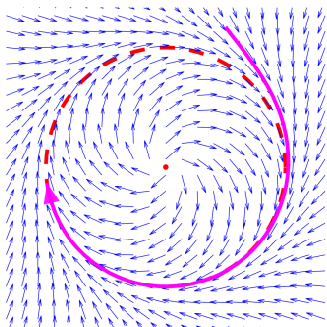


warehouse robots

How to realize both capabilities in a unified theoretical framework?

# Introduction

Path following algorithms using a **vector field**: most accurate, least control effort (Sujit et al., 2014).



Vector field corresponding to the circle.

# Introduction

Steps:

- Design a vector field for path following, and another one for collision avoidance.

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We only consider the planar case now (i.e.,  $n = 2$ ).



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# Introduction

Steps:

- Design a vector field for path following, and another one for collision avoidance.
- Combine two vector fields via bump functions.
- Obtaining the composite vector field  $\chi : \mathcal{D} \subset \mathbb{R}^n \rightarrow \mathbb{R}^n$ , we analyze the integral curves of it; i.e., the trajectories of  $\dot{\xi}(t) = \chi(\xi(t))$ .

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We only consider the planar case now (i.e.,  $n = 2$ ).

# Problem Formulation

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# Problem Formulation

## Desired path

$$\mathcal{P} = \{\xi \in \mathbb{R}^2 : \phi(\xi) = 0\},$$

where  $\phi \in C^2 : \mathbb{R}^2 \rightarrow \mathbb{R}$ .

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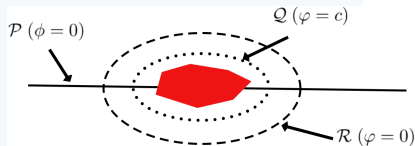
where  $\phi \in C^2 : \mathbb{R}^2 \rightarrow \mathbb{R}$ .

## Obstacles, Reactive Boundary and Repulsive Boundary

$$\mathcal{O}_{\text{all}} = \{\mathcal{O}_i \subset \mathbb{R}^2 : i \in \mathcal{I}\}$$

$$\mathcal{R}_i = \{\xi \in \mathbb{R}^2 : \varphi_i(\xi) = 0\}$$

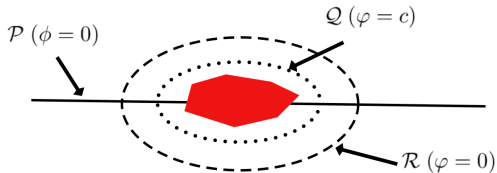
$$\mathcal{Q}_i = \{\xi \in \mathbb{R}^2 : \varphi_i(\xi) = c_i\},$$



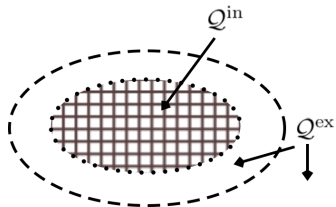
where  $\mathcal{I} = \{1, 2, \dots, m\}$ ,  $c_i \neq 0$ ,  $\varphi_i \in C^2 : \mathbb{R}^2 \rightarrow \mathbb{R}$ .

It is assumed that  $\mathcal{R}_i$  and  $\mathcal{Q}_i$  are compact.

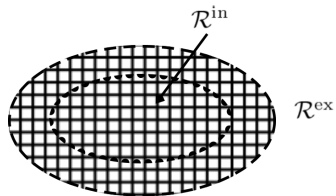
# Problem Formulation



(a) Desired path  $\mathcal{P}$ , reactive boundary  $\mathcal{R}$  and repulsive boundary  $\mathcal{Q}$



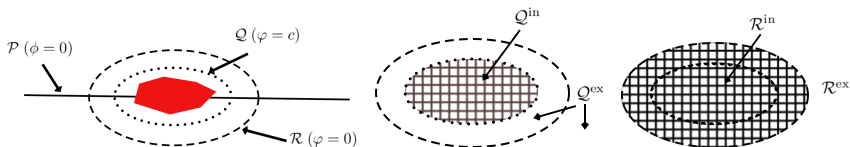
(b) Repulsive area  $\mathcal{Q}^{\text{in}}$  and non-repulsive area  $\mathcal{Q}^{\text{ex}}$



(c) Reactive area  $\mathcal{R}^{\text{in}}$  and non-reactive area  $\mathcal{R}^{\text{ex}}$

# Problem Formulation

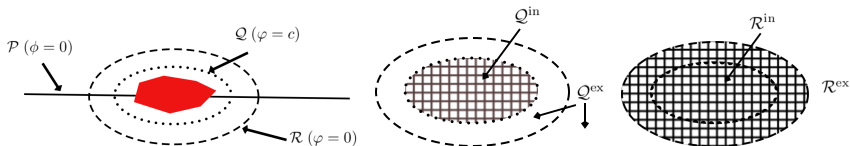
**Assumption 1:** For any  $\xi_1, \xi_2 \in \mathbb{R}^2$ , if  $|\phi(\xi_1)| \leq |\phi(\xi_2)|$ , then  $\text{dist}(\xi_1, \mathcal{P}) \leq \text{dist}(\xi_2, \mathcal{P})$ . (absolute function value corresponds to distance)



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**Assumption 2:**  $\mathcal{O}_i \subset \mathcal{Q}_i^{\text{in}} \subset \mathcal{R}_i^{\text{in}}$  and  $\text{dist}(\mathcal{Q}_i, \mathcal{R}_i) > 0$ . (obstacle enclosed by repulsive boundary, further enclosed by reactive boundary)



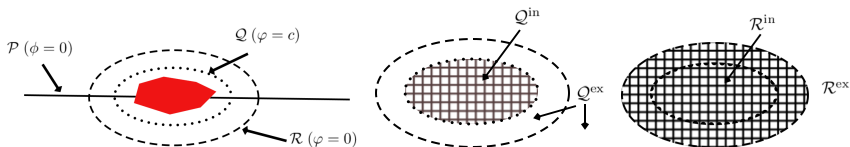


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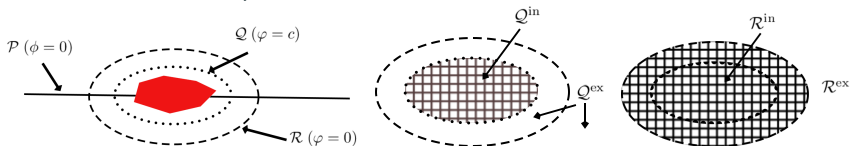
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**Assumption 4:** For each  $i \neq j \in \mathcal{I}$ ,  $\text{dist}(\mathcal{R}_i^{\text{in}}, \mathcal{R}_j^{\text{in}}) > 0$ . (obstacles not too close to each other)



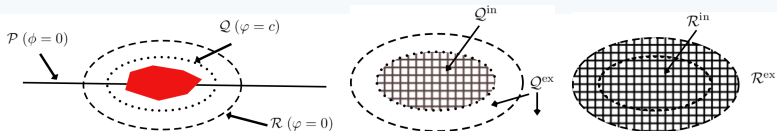
# Problem Formulation

## Vector Field based integrated Collision Avoidance and Path Following (VF-CAPF)

### Definition (VF-CAPF problem)

Design a continuously differentiable vector field  $\chi : \mathcal{D} \subset \mathbb{R}^2 \rightarrow \mathbb{R}^2$  for  $\dot{\xi}(t) = \chi(\xi(t))$  satisfying

1. (**Path following**). If  $\mathcal{O}_{\text{all}} = \emptyset$ , the VF-PF problem is solved.



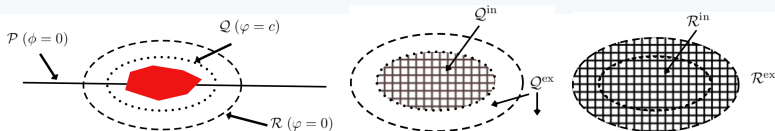
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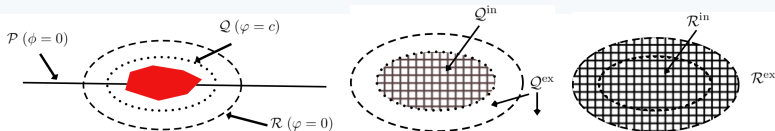
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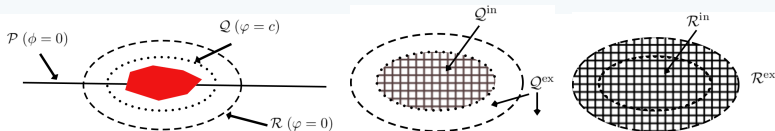
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4. (**Penetrable  $\overline{\mathcal{R}^{\text{in}}}$** ). Whenever a trajectory is in the closed reactive area  $\overline{\mathcal{R}^{\text{in}}}$ , there exists a future (finite) time instant when it is not in that area.



## Animation: Single Obstacle

# Composite VF Construction

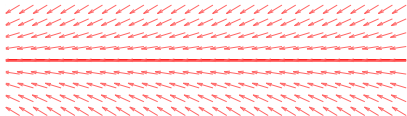
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# Composite VF Construction

The vector fields  $\chi_{\mathcal{P}}, \chi_{\mathcal{R}_i} : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  associated with  $\mathcal{P}$  and  $\mathcal{R}_i$  are:

$$\chi_{\mathcal{P}}(\xi) = E\nabla\phi(\xi) - k_{\mathcal{P}}\phi(\xi)\nabla\phi(\xi), \quad \text{PF vector field}$$



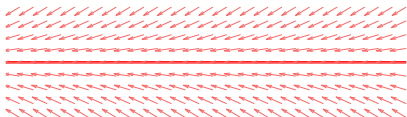
VF  $\hat{\chi}_{\mathcal{P}}$  for desired path  $\mathcal{P}$

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VF  $\hat{\chi}_{\mathcal{R}}$  for reactive boundary  $\mathcal{R}$

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The critical set  $\mathcal{C}_{\mathcal{P}}$  and  $\mathcal{C}_{\mathcal{R}_i}$  are

$$\mathcal{C}_{\mathcal{P}} = \{\xi \in \mathbb{R}^2 : \chi_{\mathcal{P}}(\xi) = 0\}, \quad \mathcal{C}_{\mathcal{R}_i} = \{\xi \in \mathbb{R}^2 : \chi_{\mathcal{R}_i}(\xi) = 0\},$$

It is assumed that  $\text{dist}(\mathcal{P}, \mathcal{C}_{\mathcal{P}}) > 0$  and  $\text{dist}(\mathcal{R}_i, \mathcal{C}_{\mathcal{R}_i}) > 0$ .

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The integral curves of  $\chi_{\mathcal{P}}(\xi)$  either converge to  $\mathcal{P}$  or  $\mathcal{C}_{\mathcal{P}}$ .

Similarly, the integral curves of  $\chi_{\mathcal{R}_i}(\xi)$  either converge to  $\mathcal{R}_i$  or  $\mathcal{C}_{\mathcal{R}_i}$ .

(Kapitanyuk, et al., 2017)

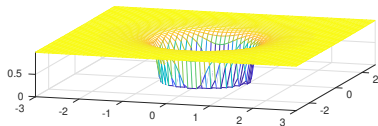
# Composite VF Construction

## Lemma 1 (bump functions)

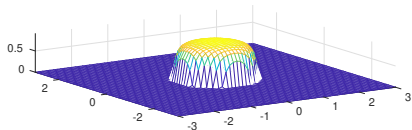
For  $\mathcal{R}_i$  and  $\mathcal{Q}_i$ , there exist **smooth** functions  $\sqcup_{\mathcal{Q}_i}, \sqcap_{\mathcal{R}_i} : \mathbb{R}^2 \rightarrow [0, \infty]$ :

$$\sqcup_{\mathcal{Q}_i}(\xi) = \begin{cases} 0 & \xi \in \overline{\mathcal{Q}_i^{\text{in}}} \\ a_i(\xi) & \xi \in \mathcal{Q}_i^{\text{ex}}, \end{cases} \quad \sqcap_{\mathcal{R}_i}(\xi) = \begin{cases} 0 & \xi \in \overline{\mathcal{R}_i^{\text{ex}}} \\ b_i(\xi) & \xi \in \mathcal{R}_i^{\text{in}}, \end{cases}$$

where  $a_i : \mathcal{Q}_i^{\text{ex}} \subset \mathbb{R}^2 \rightarrow (0, \infty)$  and  $b_i : \mathcal{R}_i^{\text{in}} \subset \mathbb{R}^2 \rightarrow (0, \infty)$  are bounded smooth functions.



(a) **zero-inside** bump function  $\sqcup_{\mathcal{Q}_i}$  (zero values **inside** and **including**  $\mathcal{Q}_i$ )

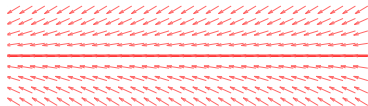


(b) **zero-outside** bump function  $\sqcap_{\mathcal{R}_i}$  (zero values **outside** and **including**  $\mathcal{R}_i$ )

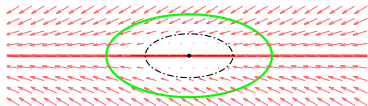
# Composite VF Construction

Without loss of generality, consider only one obstacle.

$$\chi_c(\xi) = \sqcup_Q(\xi) \hat{\chi}_{\mathcal{P}}(\xi)$$



(a) VF  $\hat{\chi}_{\mathcal{P}}$  for desired path  $\mathcal{P}$

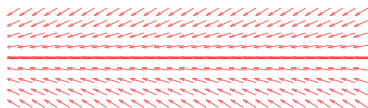


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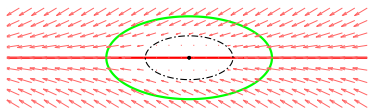
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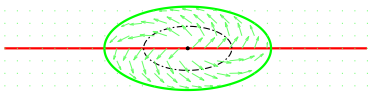
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(b) VF  $\sqcup_{\mathcal{Q}}(\xi)\hat{\chi}_{\mathcal{P}}(\xi)$



(c) VF  $\hat{\chi}_{\mathcal{R}}$  for reactive boundary  $\mathcal{R}$

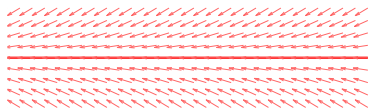


(d) VF  $\sqcap_{\mathcal{R}}(\xi)\hat{\chi}_{\mathcal{R}}(\xi)$

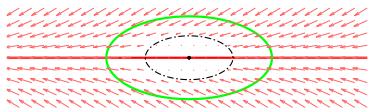
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Without loss of generality, consider only one obstacle.

$$\chi_c(\xi) = \sqcup_{\mathcal{Q}}(\xi)\hat{\chi}_{\mathcal{P}}(\xi) + \sqcap_{\mathcal{R}}(\xi)\hat{\chi}_{\mathcal{R}_i}(\xi)$$



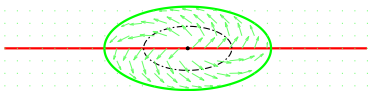
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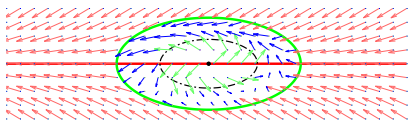
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(c) VF  $\hat{\chi}_{\mathcal{R}}$  for reactive boundary  $\mathcal{R}$



(d) VF  $\sqcap_{\mathcal{R}}(\xi)\hat{\chi}_{\mathcal{R}}(\xi)$

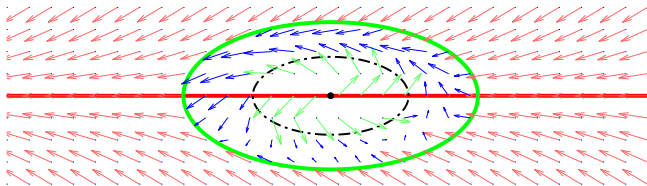


(e) Composite VF  $\chi_c(\xi)$



# Composite VF Construction

Do the two vector fields cancel each other in the annulus area?

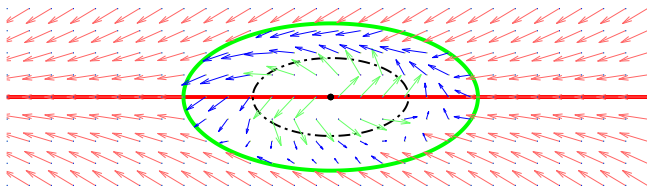


## Main Results

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The mixed area  $\mathcal{M} = \mathcal{Q}^{\text{ex}} \cap \mathcal{R}^{\text{in}}$  will be investigated. By Nagumo's theorem, the closed mixed area  $\overline{\mathcal{M}}$  is not positively invariant (not enough).



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## Lemma 2 (fundamental limitation)

*If there are no critical points in the reactive area<sup>2</sup>, **which is true for many practical cases**, then there is at least one **saddle point** of  $\dot{\xi} = \chi_c(\xi)$  in the mixed area  $\mathcal{M}$ .*

---

<sup>2</sup>precisely,  $\mathcal{C}_{\mathcal{P}} \cap \mathcal{R}^{\text{in}} = \emptyset$

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## Remark 1

*Note that if the condition is violated, it is possible that there are no equilibria in the mixed area  $\mathcal{M}$ , and thus this limitation can be removed.*

---

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Combining the previous results, the main theorem follows:

## Theorem 1

*The VF-CAPF problem is solved if the following conditions hold:*

- 1.  $\xi(0) \notin \mathcal{W}(\mathcal{C}_{\mathcal{P}})$ ,  $\mathcal{W}(\mathcal{C}_{\mathcal{R}}) \cap \mathcal{Q} = \emptyset$ ,  $\mathcal{C}_{\mathcal{P}}$  is bounded;*
- 2.  $\mathcal{C}_{\mathcal{P}} \cap \mathcal{R}^{\text{in}} = \emptyset$  and there is only one equilibrium  $c_0 \in \mathcal{C}_c$  in the mixed area  $\mathcal{M}$ ;*
- 3. there exists a trajectory  $\xi(t)$  starting from the repulsive boundary  $\mathcal{Q}$  and reaching the reactive boundary  $\mathcal{R}$ .*

## Example: Multiple Obstacles

$$\chi_c(\xi) = \prod_{i \in \mathcal{I}} \sqcup_{\mathcal{Q}_i}(\xi) \hat{\chi}_{\mathcal{P}}(\xi) + \sum_{i \in \mathcal{I}} \sqcap_{\mathcal{R}_i}(\xi) \hat{\chi}_{\mathcal{R}_i}(\xi),$$

## Conclusion and Future Work

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- the desired path and the contours of the obstacles are rather general;

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- we propose a composite vector field to integrate collision avoidance and path following behaviors; theoretical guarantees are provided;
- we consider the simple situation: 2D, multiple static obstacles;
- we provide an abstract, high-level framework, while the implementation aspects, such as, perception, motion, are neglected.
- the desired path and the contours of the obstacles are rather general;
- fundamental limitation is shown; but it could be removed in some cases;

# Conclusion and Future Work

## Conclusion:

- we propose a composite vector field to integrate collision avoidance and path following behaviors; theoretical guarantees are provided;
- we consider the simple situation: 2D, multiple static obstacles;
- we provide an abstract, high-level framework, while the implementation aspects, such as, perception, motion, are neglected.
- the desired path and the contours of the obstacles are rather general;
- fundamental limitation is shown; but it could be removed in some cases;

# Conclusion and Future Work

## Future work

- moving obstacles;
- non-holonomic robot model; e.g, a unicycle model;

# Appendix 0: Problem Formulation (rigorous version)

## Definition (VF-CAPF problem)

1. (Path following). If  $\mathcal{O}_{\text{all}} = \emptyset$ , the VF-PF problem is solved.
2. (Repulsive  $\overline{Q}^{\text{in}}$ ). If  $\xi(0) \notin \overline{Q}_i^{\text{in}}$  for all  $i \in \mathcal{I}$ , then  $\xi(t) \notin \overline{Q}_j^{\text{in}}$  for  $t \geq 0$  and all  $j \in \mathcal{I}$ . If there exists  $i \in \mathcal{I}$  such that  $\xi(0) \in \overline{Q}_i^{\text{in}}$ , then there exists  $T > 0$ , such that  $\xi(t) \notin \overline{Q}_j^{\text{in}}$  for  $t \geq T$  and all  $j \in \mathcal{I}$ .
3. (Bounded path-following error). There exists a positive finite constant  $M$  such that  $\text{dist}(\xi(t), \mathcal{P}) \leq M$  for  $t \geq 0$ . Moreover, for all nonempty connected time intervals  $\Xi_j \subset \mathbb{R}$ ,  $j \in \mathbb{N}$ , such that  $\xi(t) \notin \bigcup_i \overline{\mathcal{R}}_i^{\text{in}}$  for  $t \in \Xi_j$ , the path-following error  $\text{dist}(\xi(t), \mathcal{P})$  is strictly decreasing on  $\Xi_j$ .
4. (Penetrable  $\overline{\mathcal{R}}_i^{\text{in}}$ ). Fixing  $i \in \mathcal{I}$ , if for almost all trajectories, there exists  $t_0^e \in \mathbb{R}$  such that  $\xi(t_0^e) \in \overline{\mathcal{R}}_i^{\text{in}}$ , then there exists  $t_0^l > t_0^e$  such that  $\xi(t_0^l) \notin \overline{\mathcal{R}}_i^{\text{in}}$ . In addition, the trajectory cannot cross the reactive boundary  $\mathcal{R}_i$  infinitely fast<sup>‡</sup>.

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<sup>‡</sup>Suppose there exists a strictly increasing sequence of time instants  $(t_i)_{i=1}^{\infty}$  such that a trajectory is in the exit set (Conley, 1978) of the reactive boundary at these instants; precisely,  $\xi(t_i) \in \mathcal{R}^- := \{\xi_0 \in \mathcal{R} : \xi(0) = \xi_0, \forall \delta > 0, \xi([0, \delta)) \not\subset \mathcal{R}\}$ . If  $(t_i)_{i=1}^{\infty}$  is a Cauchy sequence, then the trajectory  $\xi(t)$  is said to cross  $\mathcal{R}$  infinitely fast.



## Appendix I: Nagumo's theorem (Blanchini&Miani, 2008)

### Definition 1 (Bouligand's tangent cone)

Given a closed set  $\mathcal{S} \subset \mathbb{R}^n$ , the tangent cone to  $\mathcal{S}$  at  $x \in \mathbb{R}^n$  is defined as follows:

$$\mathcal{T}_{\mathcal{S}}(x) = \{z \in \mathbb{R}^n : \liminf_{\tau \rightarrow 0} \frac{\text{dist}(x + \tau z, \mathcal{S})}{\tau} = 0\}.$$

The tangent cone is nontrivial only on the boundary of  $\mathcal{S}$ .

### Theorem 1 (Nagumo's theorem)

*Consider the system  $\dot{x}(t) = f(x(t))$  and assume that for each initial condition  $x(0)$  in an open set  $\mathcal{O}$  it admits a unique solution defined for all  $t \geq 0$ . Let  $\mathcal{S} \subset \mathcal{O}$  be a closed set. Then,  $\mathcal{S}$  is positively invariant for the system if and only if the velocity vector satisfies Nagumo's condition:*

$$f(x) \in \mathcal{T}_{\mathcal{S}}(x), \text{ for all } x \in \partial\mathcal{S}.$$

## Appendix I

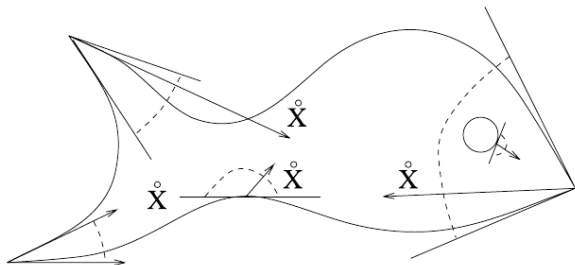


Fig. 4.1. Nagumo's conditions applied to a fish shaped set.

## Appendix II: Index theorem (Khalil, 1996)

Consider the second-order autonomous system  $\dot{x} = f(x)$ , where  $f(x) \in C^1$ .

**Poincaré index:** Let  $C$  be a *simple closed curve* not passing through any equilibrium point. Consider the orientation of the vector field  $f(x)$  at a point  $p \in C$ . Letting  $p$  traverse  $C$  in the *counterclockwise* direction, the vector  $f(x)$  rotates continuously and, upon returning to the original position, must have rotated an angle  $2k\pi$  for some integer  $k$ , where the angle is measured *counterclockwise*.

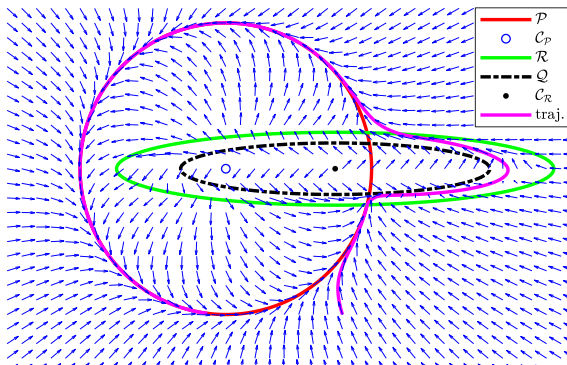
The integer is called the **index** of the closed curve  $C$ . If  $C$  is chosen to encircle a single isolated equilibrium point  $\bar{x}$ , then  $k$  is called the **index** of  $\bar{x}$ .

## Appendix II

### Theorem 2 (Index theorem)

1. *The index of a node, a focus, or a center is +1.*
2. *The index of a (hyperbolic) saddle is  $-1$ .*
3. *The index of a closed orbit is +1.*
4. ***The index of a closed curve not encircling any equilibrium point is 0.***
5. *The index of a closed curve is equal to the sum of the indices of the equilibrium points within it.*

## Appendix III: An example of no equilibria



**Figure 4:** In this case,  $\mathcal{C}_P \cap \mathcal{R}^{\text{in}} \neq \emptyset$ . There are no equilibrium points in the mixed area  $\mathcal{M}$ .

## Appendix IV: Bump functions

The reactive boundary is described by a rotated ellipse in general:

$$\varphi(x, y) = \frac{((x - o_x) \cos \beta + (y - o_y) \sin \beta)^2}{a^2} + \frac{((x - o_x) \sin \beta - (y - o_y) \cos \beta)^2}{b^2} - 1 = 0$$

We choose the zero-inside bump function as

$$\sqcup_{\mathcal{Q}}(\xi) = \begin{cases} 0 & \xi \in \{\varphi(\xi) \leq c\} \\ \exp\left(\frac{l_1}{c - \varphi(\xi)}\right) & \xi \in \{\varphi(\xi) > c\} \end{cases} \quad (1)$$

and the zero-outside bump function as

$$\sqcap_{\mathcal{R}}(\xi) = \begin{cases} \exp\left(\frac{l_2}{\varphi(\xi)}\right) & \xi \in \{\varphi(\xi) < 0\} \\ 0 & \xi \in \{\varphi(\xi) \geq 0\}, \end{cases} \quad (2)$$

where  $l_1 > 0$ ,  $l_2 > 0$  are used to change the decaying or increasing rate of the bump functions.